



Gujarat National Law University

6th GNLU MOOT ON SECURITIES AND INVESTMENT LAW

02 – 04 APRIL 2021



REVISED RULES OF THE COMPETITION

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OFFICIAL SCHEDULE

2 nd February, 2021	Release of the Revised Moot Problem
6 th February, 2021	Last date for completion of registration for colleges already provisionally registered
13 th February, 2021	Last date for completion of registration for all other colleges
20 th February, 2021	Last day to apply for clarifications to the Moot Problem
28 th February, 2021	Clarifications, if any, to be published on the Official Website
2 nd March, 2021	Dispatch of Team Codes to participating teams
11 th March, 2021	Last date for submitting the soft copy of the Written Submissions
2 nd April, 2021	Inaugural Function and Orientation of Participants
3 rd April, 2021	Preliminary Rounds
4 th April, 2021	Quarter-Finals, Semi-Finals, Finals, and Valedictory Ceremony

RULES OF THE COMPETITION

GENERAL INFORMATION

1. These are the revised rules for the 6th GNLU Moot on Securities and Investment Law, 2021. These rules supersede any rules previously released.
2. The 6th GNLU Moot on Securities and Investment Law, 2021 (Competition) shall be held from 02 to 04 April 2021 on a Virtual Platform.
3. The Organising Committee (OC) shall function as the point of contact, and any changes in the Rules of the Competition shall be notified by email to all participating teams.
4. The Competition shall be conducted in accordance with the rules mentioned hereunder. Participants are required to comply with the rules and procedures prescribed herein.
5. The official email for all correspondence with respect to the Competition is gnlumsil@gnlu.ac.in.

1. DEFINITIONS

- 1.1. **“Applicant(s)/Appellant(s)/Petitioner(s)”** means that side of the Team which argues on behalf of the Applicant(s)/Appellant(s)/Petitioner(s) at any given point in the Competition.
- 1.2. **“Bench”** means the judges of an Oral Pleading Session collectively.
- 1.3. **“Bluebook”** means the Bluebook Method of Citation (20th edition).
- 1.4. **“Clarifications”** mean the clarifications and corrections to the Moot Problem issued pursuant to Rule 5 of the Rules of the Competition. They shall be deemed to form part of the Moot Problem.
- 1.5. **“Compendium”** means a compilation or collection of legal or other authorities that a Team seeks to rely upon during the Oral Pleading Session.
- 1.6. **“Competition”** means the 6th GNLU Moot on Securities and Investment Law, 2021.
- 1.7. **“Identity”** means any fact pertaining to the identity of the Team, its members, or the Institution/College/University represented by the Team and the state or region where such Institution/College/University is located, and includes any identification marks/ seal of the Team or the Institution/ College/University represented including library marks, any names (not limited to names of the participants) or indications to the university.
- 1.8. **“IST”** means Indian Standard Time.
- 1.9. **“Official Website”** means the website of the Competition.

- 1.10. **“Oral Pleading Session”** means the oral pleadings before a Bench as explained in Rule 8 of the Rules of the Competition.
- 1.11. **“Oral Score”** means the score given by a judge in accordance with Rule 9 of the Rules of the Competition.
- 1.12. **“Orator”** means one of the two designated speakers in a Team.
- 1.13. **“Organizing Committee”** or **“OC”** means the committee, including any other persons specifically authorized in this respect, appointed for the administration and conduct of the Competition and all events leading to the Competition.
- 1.14. **“Rebuttals”** mean the set of arguments/ challenges that the Applicant(s)/Appellant(s)/Petitioner(s) shall raise at the end of the main pleadings of all the Orators.
- 1.15. **“Reply to Rebuttals”** means the defense presented by the Respondent(s) in response to the Rebuttals.
- 1.16. **“Researcher”** means that member of the Team who is not an Orator.
- 1.17. **“Respondent(s)”** means that side of the Team which argues on behalf of the Respondent(s) at any given point in the Competition.
- 1.18. **“Scouting”** means any person observing the Oral Pleading Session of a Team other than that of the Team such person is associated with.
- 1.19. **“Team”** means the registered representatives of any Institution/College/University participating in the Competition and shall include only those members as specified in Rule 2.3 of the Rules of the Competition.
- 1.20. **“Team Code”** means the code assigned to a Team through draw of lots.
- 1.21. **“Virtual Platform”** means any online platform which is determined by the OC for the conduct of Oral Pleading Sessions in the Competition.
- 1.22. **“Waiting Room”** means the area designated by the OC on the Virtual Platform wherein team members are required to wait for their Oral Pleading Sessions to start.
- 1.23. **“Written Submissions”** means the memorandum of written submissions submitted by any Team as per Rule 6 of the Rules of the Competition.
- 1.24. **“Written Submission Score”** means the score determined in accordance with Rule 9 of the Rules of the Competition.

2. ELIGIBILITY AND TEAM COMPOSITION

- 2.1. The Competition is open to all students, enrolled bonafide at the time of the competition on a regular basis in an undergraduate LL.B. degree programme or its equivalent conducted

by any recognized Institution/College/University in India. Students enrolled in postgraduate or diploma programmes are not eligible to participate.

- 2.2. A recognized Institution/College/University shall be entitled to send only one Team for the Competition.
- 2.3. Each Team shall comprise of minimum 2 (two) and maximum 3 (three) members. In case of a three member team, two members of the Team shall be designated as Orators and the third member shall be designated as the Researcher. In case of a two member team, both members shall be designated as Orators.
- 2.4. Any additional member or Team Coach accompanying a Team shall not be recognized and will not be entitled to a certificate from the OC.

3. REGISTRATION

3.1. General Rules

- 3.1.1. In this edition of the Competition, the participation will be restricted to only 32 Teams. All the Teams desirous of participating in the Competition should provisionally register themselves by sending an email to gnlumsil@gnlu.ac.in through the Moot Court Committee of their Institution/College/University or the Faculty Coordinator of the Moot Court Committee. Final registration formalities should be completed by the Team only after receiving an affirmative reply from the OC to the provisional registration email.
- 3.1.2. The Teams from Institutions/Colleges/Universities who have already provisionally registered for the Competition before the release of these Rules will be given a preference for final registration over the Institutions/Colleges/Universities who will be provisionally registering themselves after the release of these Rules.
- 3.1.3. Each provisionally registered Team, who has received an affirmative reply from the OC to the provisional registration email, must register by filling up the Google Form [GNLUMSIL 2021 | Registration](#) and by paying the registration fee as provided in Rule 3.2 of the Rules of the Competition.
- 3.1.4. Each Team shall complete such registration on or before 06 February 2021 (11:59 PM IST) in case of Teams who had previously provisionally registered for the Competition and on or before 13 February 2021 (11:59 P.M. IST) in case of other Teams.
- 3.1.5. Each Team is required to upload an authorisation cum bonafide letter issued by the appropriate authority of their Institution/College/University. In case, the letter cannot be obtained by the team, Authorisation email from the Head of the Institution, with the subject line: Authorisation Email | GNLUMSIL 2021 | Name of the University, is to be sent to gnlumsil@gnlu.ac.in and the copy of

that e-mail has to be uploaded in the Google Form. The letter/email should contain the details of the teammates (Name, Batch, Year of Study). It should contain an affirmation that the teammates are bonafide students of the Institution/College/University and are the only students authorised to participate in the Competition.

- 3.1.6. In the event that the team is unable to fill the Google Form provided above, they shall notify the details required for the same, by email. However, it is preferred that teams choose

3.2. Registration Fee

- 3.2.1. The registration fee is INR 2,000 per Team.

3.2.2. Teams shall pay the Registration Fee by way of an online transfer using the following link: <https://www.onlinesbi.com/sbicollect/icollecthome.htm>. Teams are instructed to mention the full name of their Institution/College/University in the column provided for Remarks while making the payment. Teams are required to download the receipt of the payment and upload the same to the Google form.

- 3.2.3. Kindly find below the procedure for online payment:

- Accept the Terms and Conditions and select 'Proceed'.
- Select 'Gujarat' in the State of Institution.
- Select 'Educational Institutions' in the Type of Institution.
- Select 'Gujarat National Law University' from the List of Educational Institutions.
- Select 'GNLU Moot on Securities and Investment Law' in the payment category.
- Enter details and submit.
- Once payment is successful, generate receipt of the transaction and download the same.

- 3.3. Teams which have registered for the Competition in accordance with Rule 3.1 of the Rules of the Competition may withdraw from the Competition after informing the OC of such withdrawal on or before 01 March 2021. If a Team gives notice of withdrawal on or before 01 March 2021, then the registration fee paid, if any, will be refunded to the Team, after deducting transaction costs. In case a Team fails to give notice within the stipulated time, such Team would not be entitled to any refund.

- 3.4. Once a Team registers by completing the formalities given in Rules 3.1 and 3.2 of the Rules of the Competition, a Team Code shall be assigned to it by 02 March 2021.
- 3.5. A Team that has registered pursuant to Rules 3.1 and 3.2 of the Rules of the Competition may change its composition only after informing the OC of such change by way of filling the Google Form [GNLUMSIL 2021 | Change in Team Composition](#). The Google Form should be filled by the member of the team who will remain part of the team even after the change in the Team composition. After filling the Google Form, an e-mail is to be sent to gnlumsil@gnlu.ac.in informing the OC that there is a change in the Team composition. The change in the Team composition will be approved by the OC and a reply will be sent to the remaining and/or new members of the Team. Changes to the team composition may not be made later than 28 March 2021.
- 3.6. Any changes in the contact details of Team members must be notified to the OC with immediate effect. This obligation to inform shall continue throughout the course of the Competition, unless such a Team withdraws or is disqualified from the Competition.

4. ANONYMITY OF TEAMS

- 4.1. Teams shall not reveal their Identity in any form, except by means of the Team Code assigned to them in accordance with Rule 3.4 of the Rules of the Competition.
- 4.2. Teams shall not, in any way, reveal their Identity in the Written Submissions or in the course of the Oral Pleadings Session. The Written Submissions shall not bear the logo, name, etc. of the Team, its members or the Institution/College/University represented by the Team.
- 4.3. Any material, including the Compendium, books, bare acts, or any other reference material, carried during the Oral Pleading Session, whether presented to the Bench or not subject to Rule 8.8, shall not reveal the Team's Identity and will be devoid of any identification marks/ seal of the Team or the Institution/College/University represented. If anything on the material carried during the Oral Pleading Session reveals the Team's Identity, the Team shall render the same unrecognizable to the satisfaction of the OC prior to joining the Oral Pleading Session.
- 4.4. Any violation of Rules 4.2 or 4.3 of the Rules of the Competition may attract disqualification of the Team from the Competition or any other penalty as determined by the OC. The decision of the OC in this regard shall be final and not subject to challenge.

5. CLARIFICATIONS TO THE MOOT PROBLEM

- 5.1. Teams may seek Clarifications via e-mail to gnlumsil@gnlu.ac.in, latest by 20 February 2021 (11:59 P.M. IST).
- 5.2. All Clarifications shall be posted on the Official Website by 28 February 2021. This date is subject to change.

6. WRITTEN SUBMISSIONS

6.1. Each Team is required to prepare Written Submissions for both sides, i.e., the Applicant(s)/Appellant(s)/Petitioner(s) and the Respondent(s) of the case.

6.2. A Written Submission shall be identified solely by the Team Code assigned to the Team pursuant to Rule 3.4 of the Rules of the Competition. The Written Submissions shall not, in any way, disclose any fact pertaining to the Identity of the Team, its members (not limited to the name of the participants), or the Institution/College/University represented by the Team.

6.3. A Written Submission shall consist of the following mandatory heads:

- a. Cover Page
- b. Table of Contents
- c. List of Abbreviations
- d. Index of Authorities
- e. Statement of Facts (not exceeding 2 pages)
- f. Statement of Jurisdiction
- g. Issues Raised
- h. Summary of Arguments (not exceeding 2 pages)
- i. Arguments Advanced (not exceeding 20 pages)
- j. Prayer (not exceeding 1 page)

6.4. The Cover Page of a Written Submission must contain the following information:

- a. The Team Code in the upper right-hand corner in accordance with Rule 6.11.3 of the Rules of the Competition.
- b. The name and year of the Competition
- c. The name of the case
- d. The side for which the Written Submission has been prepared
- e. Name of the forum resolving the dispute.

6.5. List of Abbreviations and Index of Authorities

6.5.1. The List of Abbreviations shall include all abbreviations and acronyms (such as “SEBI”, “SC”, etc.) used in the Written Submission. If any abbreviation does not appear in the List of Abbreviations or vice versa, every instance wherein it is used in the Written Submission shall constitute a violation of this rule.

6.5.2. All authorities cited in the Written Submission must be included in the Index of Authorities and vice versa. If any authority cited in the Written Submission is not included in the Index of Authorities or vice versa, every instance wherein it is used in the Written Submission or the Index of Authorities, as the case may be, shall constitute a violation of this rule.

6.5.3. The List of Abbreviations must be in alphabetical order.

6.5.4. Index of Authorities must be in alphabetical order. Each head under Index of Authorities, such as Articles, Case Laws, Online sources etc., must be in alphabetical order.

6.6. Footnotes and Citations

6.6.1. Teams shall cite all authorities in the Written Submission using footnotes as per Bluebook (20th Edition). A few sample citations have been provided in **Annexure I** for reference.

6.6.2. While citing the legal resources mentioned in this rule, the citation format (including punctuations, capitalizations, etc.) recommended in **Annexure II** is to be followed instead of Bluebook.

6.6.3. While citing any legal resource, which is neither specified in Rule 6.6.2 of the Rules of the Competition nor specifically provided for in Bluebook (20th edition), but found online, the legal resource should be cited as an internet resource as per Bluebook (20th Edition).

6.6.4. Footnotes should be limited only to citations and in no case shall footnotes contain additional information or arguments.

Example:

Acceptable footnote: A v. B, AIR 2015 SC 50, 12-23 [hereinafter A].

Unacceptable footnote: A v. B, AIR 2015 SC 50, 12-23 [hereinafter A] (holding that the basic structure of the Constitution cannot be amended).

6.7. Graphs, Tables, Diagrams and Annexures

6.7.1. The Arguments Advanced shall not contain any graphs, tables, diagrams, photographs or any other representation of like nature.

6.7.2. Graphs shall only be included where these are necessary, and must be presented separately at the end of the Written Submission as Annexure, subject to a maximum page limit of 2 pages.

6.8. The Written Submission (*including the preliminary pages and excluding the cover page*) shall adhere to the following mandatory specifications:

- a. Paper size: A4
- b. Font type: Times New Roman
- c. Font size: 12
- d. Line spacing: 1.5
- e. Body of text: Justified
- f. Margin: 1 inch on all sides
- g. No borders

For footnotes, the formatting specifications are:

- a. Font type: Times New Roman
- b. Font size: 10
- c. Line spacing: 1
- d. Paragraph spacing: 0
- e. No additional space between 2 footnotes
- f. Body of text: Justified

6.9. Presentation of the Written Submission

6.9.1. The Written Submission must be submitted only in **soft copy**.

6.9.2. The cover page of the soft copies of the Written Submissions shall comply with the following colour scheme:

Applicant(s)/Appellant(s)/Petitioner(s) – *Blue*(in any shade)

Respondent(s) – *Red*(in any shade)

6.10. Each Team shall send a soft copy of the Written Submissions, via electronic mail, on or before 11 March 2021 (5:00 PM. IST) to gnlumsil@gnlu.ac.in, and shall comply with Rule 6.11 of the Rules of the Competition.

6.10.1. Any soft copy submission made after 11 March 2021 (5:00 PM IST) will be considered a late submission and shall be penalized with 1 mark for each day of delay till 16 March 2021 (05:00 P.M. IST).

6.10.2. Any soft copy submission after 16 March 2021 (after 05:00 P.M. IST) shall not be accepted and the Team will not be allowed to participate in the Competition, except at the discretion of the OC. The decision of the OC shall be final in this regard.

6.10.3. Where a Team makes multiple soft copy submissions, the last in time shall be considered for the purpose of Rules 6.10.1 and 6.10.2 of the Rules of the Competition.

6.11. It is the responsibility of each Team to ensure that the electronic copies of the Written Submissions:

6.11.1. can be opened and read with Adobe Acrobat Reader Version 8 and Microsoft Word 97-2003 Document (through use of compatibility mode) or a later version and do not contain any virus;

6.11.2. are submitted as four (4) separate file attachments: two (2) as Written Submission for the Applicant(s)/Appellant(s)/Petitioner(s) (one each in PDF and Microsoft Word (.docx/.doc) format) and two (2) as Written Submission for the Respondent(s) (one each in PDF and Microsoft Word (.docx/.doc) format); and

6.11.3. are named according to the Team Code and the side for which the Written Submission is prepared. (For instance, Team 01 will name its Written Submission for the Applicant(s)/Appellant(s)/Petitioner(s) as 01A and Written Submission for the Respondent(s) as 01R.) Use of any other format (example “Team 01A” or “Team 01R”) will be penalized.

6.12. Any Written Submission violating any of the specifications enumerated from Rule 6.2 to Rule 6.9 and in Rule 6.11 of the Rules of the Competition will be penalized according to the scheme provided below:

S. NO.	SCHEME OF DEDUCTION	MARKS DEDUCTED
1.	Failure to comply with Rule 6.2	0.5 marks for every disclosure
2.	Failure to comply with Rule 6.3	1 mark for every head omitted; 1 mark for every page in excess of page limit as applicable
3.	Failure to comply with Rule 6.4	0.5 marks for every violation
4.	Failure to Comply with 6.5.1	1 mark for 10 or more violations up to a maximum deduction of 1 mark
5.	Failure to comply with Rule 6.5.2	0.25 marks per violation up to a maximum of 2 marks
6.	Failure to comply with 6.5.3	0.5 marks per violation
7.	Failure to comply with 6.5.4	0.5 marks per violation
8.	Failure to comply with Rules 6.6.1, 6.6.2, and 6.6.3	1 mark for every 10 violations up to a maximum deduction of 5 marks
9.	Failure to comply with Rule 6.6.4	0.5 marks per violation
10.	Failure to comply with Rule 6.7.1	0.5 marks for each violation

11.	Failure to comply with Rule 6.7.2	1 mark for every page in excess of page limit
12.	Failure to comply with Rule 6.8	0.5 marks per violation for every page containing such violation up to a maximum deduction of 5 marks
13.	Failure to comply with Rule 6.9.2	1 mark per side
14.	Failure to comply with Rule 6.11	0.5 marks for every violation
MAXIMUM DEDUCTION		10 marks

6.13. Any Written Submission violating the specifications enumerated under Rule 6.10 of the Rules of the Competition will be penalized according to the scheme provided below:

S. NO.	SCHEME OF DEDUCTION	MARKS DEDUCTED
1.	Failure to comply with Rule 6.10	1 mark for every day of delay
MAXIMUM DEDUCTION		5 marks

6.14. Deductions made under Rule 6.13 of the Rules of the Competition shall be over and above the maximum deduction of 10 marks prescribed under Rule 6.12 of the Rules of the Competition.

6.15. Any decision of the OC regarding the deductions shall be final and not open to challenge.

7. STRUCTURE OF THE COMPETITION

7.1. The Competition shall be conducted over a period of three days from 02 April 2021 and 04 April 2021.

7.2. The Inaugural Function and Orientation of Participants will be held on 02 April 2021. Preliminary Rounds will be conducted on 03 April 2021 and Quarter Final, Semi Final and Finals on 04 April 2021.

7.3. The Competition shall consist of the following Oral Pleading Sessions:

- a. The Preliminary Rounds;
- b. The Quarter Final Rounds;
- c. The Semi-Final Rounds; and
- d. The Final Round.

7.4. The Teams participating in a Round will be provided with a soft copy of the opposing Team's Written Submission prior to the Oral Pleading Session.

7.5. Preliminary Rounds

7.5.1. The Preliminary Rounds will be held on 03 April 2021. There will be two Preliminary Rounds and each Team shall argue once as the Applicant(s)/Appellant(s)/Petitioner(s) and once as the Respondent(s).

7.5.2. The pairing of Teams for the Preliminary Rounds shall be done by draw of lots by the OC. No two Teams shall argue against each other more than once in the Preliminary Rounds.

7.5.3. Each Team will be provided with a soft copy of the opposing Team's Written Submission prior to the Oral Pleading Session.

7.5.4. Each round shall be decided through the allocation of a total of 9 round points, with 6 points being awarded on the basis of the Oral Scores of the Teams and 3 points being awarded on the basis of the Written Submission Scores of the Teams.

7.5.5. If the difference between the Written Submission Scores of the two Teams (determined in accordance with Rule 9.1 of the Rules of the Competition) is more than 7% of the higher score, then the team with the higher Written Submission Scores will be awarded 3 points. If the difference is less than 7% of such higher score, then 2 points will be awarded to the Team with the higher score and 1 point will be awarded to the other Team. In case of a tie, the Teams will be awarded 1.5 points each.

7.5.6. A Bench of 2 judges will judge each round in the Preliminary Rounds. For each judge, if the cumulative Oral Score (determined in accordance with Rule 9.2 of the Rules of the Competition) of one Team exceeds the cumulative Oral Score of the other Team by 7% of the higher cumulative Oral Score, then the Team with the higher cumulative Oral Score will be awarded 3 points. If such difference is less than 7%, then the Team with the higher score will be awarded 2 points and the other Team will be awarded 1 point. In case of a tie, the Teams will be awarded 1.5 points each for that judge. Hence, a total of 3 points per judge will be allocated for the Oral Pleading Sessions.

Example:

In a round between Team A and Team B, Judge 1 gives a cumulative Oral Score of 170 marks to Team A and 165 marks to Team B. The difference is 5 marks. Since this difference is less than 7% of 170 (which is 11.9) for Judge 1, Team A

will be awarded 2 points and Team B will be awarded 1 point. In the same round, Judge 2 gives a cumulative Oral Score of 160 marks to Team A and 175 marks to Team B. Here, the difference of 15 marks is more than 7% of 160 (which is 11.2) and hence, Team A will be awarded 0 points and Team B will be awarded 3 point. If the Written Submission Score of Team A is 75 and the Written Submission Score of Team B is 75, there exists a tie and hence, the 3 points will be split equally, Team B will be awarded 1.5 points and Team A will be awarded 1.5 points. The total round points for that round are therefore:

Team A: 2 (Judge 1) + 0 (Judge 2) + 1.5 (Written Submission) = 3.5 points

Team B: 1 (Judge 1) + 3 (Judge 2) + 1.5 (Written Submission) = 5.5 points

7.5.7. The Team with the higher cumulative round points determined in accordance with Rules 7.5.5 and 7.5.6 of the Rules of the Competition will be adjudged as the winner of that particular round. Hence, in the example above, Team B will be adjudged the winner.

7.5.8. The top 8 Teams will qualify to the Quarter Finals. The Teams will be ranked as per the following scheme:

- a. Firstly, on the basis of the total round points earned after the two Preliminary Rounds.
- b. If there is a tie in the total round points, the rank will be determined on the basis of the number of wins in the Preliminary Rounds.
- c. If a tie persists, the rank will be determined on the basis of the total round points earned in the Oral Pleading Sessions in the Preliminary Rounds.
- d. If a tie persists after the above, it will be resolved on the basis of the Written Submission Scores of the Teams.

7.6. Quarter Finals/ Semi-Finals

7.6.1. The Quarter Finals will be held on 04 April 2021 and will be knockout rounds. Amongst the top 8 qualifying teams determined as per rule 7.5.8, the pairing of Teams for the Quarter Finals shall be done in the following manner:

Team ranked 1 v. Team ranked 8 (Quarter Final 1)

Team ranked 2 v. Team ranked 7 (Quarter Final 2)

Team ranked 3 v. Team ranked 6 (Quarter Final 3)

Team Ranked 4 v. Team ranked 5 (Quarter Final 4)

The side (Appellant/Respondent) of the qualifying teams will be decided by draw of lots.

7.6.2. A Team will be credited with a win if the cumulative Oral Score of the Team in that match, calculated in accordance with Rule 9.2 of the Rules of the Competition, is higher than the cumulative Oral Score of the opposing Team in that match.

7.6.3. A tie will be resolved on the basis of the Written Submission Scores of the Teams. In case the tie persists, it will be resolved by the toss of a coin.

7.6.4. The Semi-Finals will be conducted on 04 April 2021 and will be knockout rounds. Amongst the teams that qualify the Quarter Final rounds, the pairing of Teams for the Semi-Finals shall be in the following manner:

Team that wins Quarter Final 1 v. Teams that wins Quarter Final 4 (Semi Final 1)

Team that wins Quarter Final 2 v. Team that wins Quarter Final 3 (Semi Final 2)

The side (Appellant/Respondent) of the qualifying teams will be decided by draw of lots.

7.6.5. Rules 7.6.2 and 7.6.3 of the Rules of the Competition will apply *mutatis mutandis* to determine the winner of the Semi-Finals.

7.6.6. The Teams in Quarter Finals and Semi-Finals will be provided with a soft copy of the opposing Team's Written Submission prior to the Oral Pleading Session.

7.7. Finals

7.7.1. The Finals of the Competition will be held on 04 April 2021. The final round will be conducted between the Teams that win the Semi-Final 1 and Semi-Final 2. The sides for which the Teams will argue will be determined through a draw of lots.

7.7.2. For each judge on the Bench, 1 point will be awarded to the Team which is given the higher cumulative Oral Score by that particular judge. On this basis, the total points awarded to each Team by the Bench shall be calculated.

7.7.3. The winner of the Competition shall be determined on the basis of the highest total points determined in accordance with Rule 7.7.2 of the Rules of the Competition.

7.7.4. A tie will be resolved by considering the cumulative Oral Score awarded by the Bench to each Team in accordance with Rule 9.2 of the Rules of the Competition. In case a tie persists, it will be resolved by considering the Written Submission Scores of the Teams.

7.7.5. The Teams will be provided with a soft copy of the opposing Team's Written Submission prior to the Oral Pleading Session.

8. ORAL PLEADING SESSIONS

8.1. The order in which Teams shall submit their oral pleadings throughout the Competition shall be:

Orator 1 for Applicant(s)/Appellant(s)/Petitioner(s)

Orator 2 for Applicant(s)/Appellant(s)/Petitioner(s)

Orator 1 for Respondent(s)

Orator 2 for Respondent(s)

8.2. No Researcher of any Team will be permitted to address the Bench.

8.3. All Oral Pleadings for the Competition will be conducted on a Virtual Platform. Technological Guidelines will be released to all Registered Participants, and must be complied with.

8.4. The Rebuttals or Reply to Rebuttals, as the case may be, shall be presented by only one Orator of the Team. The Rebuttals of the Applicant(s)/Appellant(s)/Petitioner(s) shall be limited to responding to the oral pleadings of the Respondent(s). The Reply to Rebuttals of the Respondent(s) shall be limited to responding to the Rebuttals of the Applicant(s)/Appellant(s)/Petitioner(s). There will be no sur-rebuttals allowed.

8.5. Before the Oral Pleading Session of a Team begins, the Orators of the Team shall be asked to inform an OC member about:

8.5.1. Order of speaking of the Orators.

8.5.2. The Orator delivering the Rebuttals or Reply to Rebuttals, as the case may be.

8.5.3. Time reserved by each speaker for Oral Pleadings, as well as for Rebuttals or Reply to Rebuttals, as the case may be, in accordance with Rule 8.13 and 8.14.

Once the Team has communicated these details to the OC, these details cannot be changed for the Session in question. Extensions of time may be granted only in extraordinary circumstances at the discretion of the Bench.

8.6. Teams shall not reveal their Identity (including names of the participants) throughout the duration of the Oral Pleading Sessions.

8.7. The dress code for the Competition shall be as per the applicable Bar Council of India Rules for advocates. However, robes and collars shall not be worn.

8.8. No Compendiums shall be allowed. Teams will not be allowed to submit any material to the Judges in their courtroom by any means. Only in extraordinary circumstances where the Judge asks for such material to be sent to them, Teams will be required to send such material to an email ID provided by the OC, and subsequently, after the material is vetted, the OC has the discretion to forward the same to the Judge concerned.

- 8.9. Any Team which violates any of the Rules with respect to the Oral Pleading Sessions may be penalized. The decision of the Bench shall be final in this regard.
- 8.10. Teams shall not be permitted to use any electronic devices, like laptops, projectors, video cameras, mobile phones, etc. during the Oral Pleading Sessions.
- 8.11. An additional member or Team Coach accompanying a Team shall be permitted to view the rounds of only that Team such person is associated with. Such additional member or Team Coach shall not be permitted to use any electronic devices, like laptops, projectors, video cameras, mobile phones, etc. during the Oral Pleading Sessions.
- 8.12. Any form of Scouting during the Competition is strictly prohibited and shall entail disqualification of the Team. The decision of the OC in this regard shall be final and not subject to challenge.
- 8.13. Teams shall reserve at least 1 minute for Rebuttals or Reply to Rebuttals, as the case may be, for all Oral Pleading Sessions throughout the duration of the Competition. No Team shall, however, reserve more than 5 minutes for the same.
- 8.14. The allocation of time between the Orators of a Team, as well as time reserved for Rebuttals or Reply to Rebuttals, as the case may be, is to be in whole minutes only.
- 8.15. **Preliminary Rounds**
- 8.15.1. Each Oral Pleading Session will be for a period of 60 minutes wherein each Team will be allotted 30 minutes (*including Rebuttals or Reply to Rebuttals, as the case may be*). Any extension of time beyond this specified period is subject to the discretion of the Bench.
- 8.15.2. No Orator of a Team shall speak for more than 15 minutes, excluding the time reserved for Rebuttals or Reply to Rebuttals, as the case may be.
- 8.16. **Quarter Finals/ Semi Finals**
- 8.16.1. Each Oral Pleading Session will be for a period of 60 minutes wherein each Team will be allotted 30 minutes (*including Rebuttals or Reply to Rebuttals, as the case may be*). Any extension of time beyond this specified period is subject to the discretion of the Bench.
- 8.16.2. No Orator of a Team shall speak for more than 15 minutes, excluding the time reserved for Rebuttals or Reply to Rebuttals, as the case may be.
- 8.17. **Finals**
- 8.17.1. Each Oral Pleading Session will be for a period of 80 minutes wherein each Team will be allotted 40 minutes (*including Rebuttals or Reply to Rebuttals, as the case may be*). Any extension of time beyond this specified period is subject to the discretion of the Bench.

8.17.2. No Orator of a Team shall speak for more than 20 minutes, excluding the time reserved for Rebuttals or Reply to rebuttals, as the case may be.

9. EVALUATION CRITERIA

9.1. Evaluation Criteria for Written Submissions

9.1.1. Written Submissions will be marked on a scale of 100 marks as per the following criteria:

S. NO.	MARKING CRITERIA	MAXIMUM MARKS
1.	Knowledge of Law and Facts	10
2.	Interpretation of law and Application to facts	10
3.	Identification and Articulation of Issues and Jurisdiction	10
4.	Use of Authorities	10
5.	Authoritativeness and Persuasiveness	10
6.	Organization of Arguments	10
7.	Clarity of Thought	10
8.	Originality and Innovation	10
9.	Presentation Style	10
10.	General Impression	10
TOTAL		100

9.1.2. The total marks for the Written Submissions shall be calculated by deducting marks for violations, in accordance with Rule 6 of the Rules of the Competition, from the total marks obtained by the team under Rule 9.1.1 of the Rules of the Competition.

9.2. Evaluation Criteria for Oral Pleading Sessions

9.2.1. The Oral Pleading Sessions will be marked on a scale of 100 marks per judge as per the following criteria:

S. NO.	MARKING CRITERIA	MAXIMUM MARKS
1.	Knowledge of Law and Facts	10
2.	Appreciation and Application of Facts	10
3.	Interpretation and Application of Law	10
4.	Use of Authorities	10
5.	Response to Questions	10
6.	Organization of Arguments	10
7.	Clarity of Thought and Expression	10
8.	Argumentative Skills and Creativity	10
9.	Reference to Written Submissions in the course of Oral Pleadings	10
10.	Court Mannerisms	10
	TOTAL	100

9.2.2. The decision of the Bench regarding the allocation of marks shall be final.

9.2.3. To ensure uniformity, each Judge will be briefed in advance regarding the marking criteria.

10. PRIZES AND AWARDS

10.1. Prizes and awards shall adhere to the following scheme:

S. NO.	CITATION	PRIZES AND AWARDS
1.	Winning Team	Merit Certificate+ INR 50,000 (cash prize)
2.	Runners-Up Team	Merit Certificate+ INR 25,000 (cash prize)
3.	Best Written Submissions	Merit Certificate+ INR 10,000 (cash prize)
4.	Second Best Written Submission	Merit Certificate

5.	Best Orator in the Preliminary Rounds	Merit Certificate+ INR 5,000 (cash prize)
6.	Second Best Orator (Preliminary Rounds)	Merit Certificate
7.	Best Orator in the Final Rounds	Merit Certificate + INR 5,000 (cash prize)

10.2. The Best Orator and the Second-Best Orator in the Preliminary Rounds shall be determined as per the Oral Score awarded to each Orator in the Preliminary Rounds in accordance with Rule 9.2 of the Rules of the Competition.

10.3. All members of each Team shall be awarded Certificates of Participation. Semi-Finalist and Quarter-Finalist Teams shall be awarded Merit Certificates as well.

10.4. The OC reserves the right to make any changes to the above-mentioned rules.

11. MISCELLANEOUS

11.1. The OC reserves the right to amend, modify, change, or repeal any of the Rules of the Competition. The OC shall communicate any changes made in the Rules of the Competition to the Teams.

11.2. The OC reserves the right to take decisions on any matter not mentioned in the Rules of the Competition. Any such decision taken by the OC shall be final and binding.

11.3. The OC reserves the right to interpret any of the Rules of the Competition. Such interpretation shall be final and binding.

11.4. If any one member of a team is notified or informed of any detail or information concerning the Competition, it shall be deemed as if the said team as a whole has been duly notified or informed.

11.5. The copyright in the Written Submissions of Teams shall vest with Gujarat National Law University. GNLU shall not be responsible for any liability accrued to any person or for any loss caused to any person because of the content of the Written Submissions.

11.6. No audio recording or videotaping of oral pleadings is permitted without the permission of the OC, the Bench before which the team is scheduled to present, and the opposing Team. The OC reserves all rights to audio recording and videotaping, or any other form of audio or visual reproduction of any oral round or part thereof.

11.7. If a Team believes that the Rules of the Competition have been violated at any stage of the Competition, the Team shall, within half an hour after the completion of the round in

which the alleged violation has occurred, register a complaint with the Student Convenors of the Competition.

11.8. Team(s) shall not approach the Bench with any complaints, under any circumstances whatsoever.

12. ACCESSIBILITY

12.1. The GNLUMSIL OC is committed to ensuring the highest degree of accessibility for all Teams participating in the Competition.

12.2. All our documents are capable of being read by screen-readers. However, if any of our documents are inaccessible to any Team Member, Teams are requested to contact us on gnlumsil@gnlu.ac.in to receive more accessible materials in a screen-reader compatible format.

12.3. In a situation where the Virtual Platform chosen is inaccessible to any Team Member, a more accessible Virtual Platform will be chosen for the Team concerned. Any accessibility concerns related to the Virtual Platform chosen will be dealt with in the Technological Guidelines released by the OC.

12.4. In case any Team Member of a Team is hearing or speech impaired, the said Team Member will be permitted to have their own interpreter present at the time of Oral Pleadings in the Competition. Such an interpreter need not be a Team Member. However, the same must be notified to the OC before the dates of the Competition.

12.5. In case of any other concerns pertaining to accessibility, Team Members may contact Ms. Samidha Mathur at +917433052540.

13. EQUITY POLICY

13.1. Two Equity Officers will be appointed to deal with issues relating to sexual harassment during the conduct of the Competition.

13.2. These Officers will be introduced to participating teams at the inauguration of the Competition.

13.3. The Equity Policy to be followed during the Competition will be shared with all Registered Participants of the Competition.

CONTACT DETAILS

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ANNEXURE I

i) Indian Cases

If the reporter adopts a fixed format of citation, use the same format and indicate the country abbreviation, if not evident from the context. For example:

- ADM, Jabalpur v. Shivkant Shukla, AIR 1976 SC 1207.
- Union Carbide Corporation v. Union of India and Ors., (1989) 1 SCC 674.

The name of the jurisdiction must be clearly provided only when the case law belongs to a foreign jurisdiction.

Where a reporter does not adopt a fixed citation format, use the following citation format:

<Case name>, (<year of reporter>) <volume number><reporter abbreviation><first page> (<year of decision if different from the year of reporter>) (<country abbreviation >).

ii) The Constitution of India

INDIA CONST. art. <specific Article being referred to>, § <specific clause of the Article being referred to, if any>, cl. <specific sub-clause of the clause being referred to, if any>, *amended by*<Short Title of the relevant Constitutional Amendment, if any>.

Example:

- INDIA CONST. art. 1, § 3, cl. (c).
- INDIA CONST. art. 269, *amended by* The Constitution (Eightieth Amendment) Act, 2000.

iii) Notifications and Regulations published in the Gazette of India

<Title/ Subject of the Notification/ Regulations>, Gazette of India, pt. <specific Part of the Gazette of India in which the notification/ regulation is published> sec. <specific Section of the Part in which the notification/ regulation is published>(<date of decision in ‘Abbreviated Month DD, YYYY’ format>).

Example:

Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, Gazette of India, pt. III sec. 4 (Sept. 2, 2015).

ANNEXURE II

i) RBI / SEBI / IRDA or similar regulatory body Circulars/ Notifications not published in the Gazette of India

<Subject/ Title of the Circular/ Notification>, RBI Notification No. <Notification/ Circular number> (<date of the notification in ‘Abbreviated Month DD, YYYY’ format>), <web address/ URL>.

Example:

Standardisation of Interest Rate Swap Contracts, RBI Notification No. RBI/2012-13/396 (Jan. 28, 2013), <http://www.rbi.org.in/scripts/NotificationUser.aspx?Id=7828&Mode=0>.

ii) Unpublished SAT decisions

<Case name>, SAT Appeal No. <SAT appeal number along with year> (<date of decision in ‘Abbreviated Month DD, YYYY’ format>), <web address/ URL>, ¶ <specific paragraph being referred to>.

Note:

- Opposing parties in the case name must be separated by “v.”.
- The SAT decisions which are published in law reports or journals can be cited in the same manner as the other cases published in such law reports or journals.

Example:

Chandrakant Bhogilal Shah v. SEBI, SAT Appeal No. 24 of 2015 (Apr. 7, 2015) http://www.sebi.gov.in/cms/sebi_data/attachdocs/1428392854223.pdf, ¶ 2.

iii) SEBI Orders

SEBI Order <title of the order>, <order number>, <web address/ URL>, ¶ <specific paragraph being referred to>.

Example:

SEBI Order In the Matter of Onelife Capital Advisors Ltd., WTM/RKA/EFD/42/2015, http://www.sebi.gov.in/cms/sebi_data/attachdocs/1431517750581.pdf, ¶ 15.

iv) SEBI Adjudicating Officers’ Orders

Adjudication Order <title of the order>, <order number>, <web address/ URL>, ¶ <specific paragraph being referred to>.

Example:

Adjudication Order In the Matter of M/s. JK Jute Mills Company Limited, AO/VKV/34/2015, http://www.sebi.gov.in/cms/sebi_data/attachdocs/1430902990619.pdf, ¶ 11.

v) **Indian Statutes**

<Short Title of the Act>, § <specific section being referred to>, No. <Act number>, Acts of Parliament, <year of the Act> (<country abbreviation>).

Example:

Banking Regulation Act, 1949, § 3, No. 10, Acts of Parliament, 1949 (India).

Note: While citing a State legislation, in place of ‘Acts of Parliament’, use ‘Acts of <relevant State> State Legislature’. E.g.: Maharashtra Labour Welfare Fund Act, 1953, § 5, No. 40, Acts of Maharashtra State Legislature, 1953 (India).

vi) **Law Commission of India Reports**

<Report number>LAW COMMISSION OF INDIA REPORT, <Title/ Subject of the Report>, <specific page number being referred to> (<year of publication of Report>), <web address/ URL>.

Example:

181st LAW COMMISSION OF INDIA REPORT, *Amendment to Section 106 of the Transfer of Property Act, 1882*, 8 (2002), <http://lawcommissionofindia.nic.in/reports/181rpt.pdf>.